

ADV 2B - Brochure Supplement

AETOLIA CAPITAL LLC.

John Anagnos
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This brochure supplement provides clients with information about John Anagnos that supplements the Aetolia Capital, LLC disclosure brochure. Please contact Aetolia Capital at the firm phone number above, if you did not receive a copy of the adviser's disclosure brochure or if you have any questions about the contents of this brochure supplement. Additional information about John Anagnos is available on the SEC's website at www.adviserinfo.sec.gov.

EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

John Anagnos

Year of Birth: 1961

Education

Education

Fox School of Business, Temple University

MBA, Concentration in Finance, 1998

Wharton School of Business, University of Pennsylvania

BS, Business Administration, Concentration in Management, 1991

Employment History

Aetolia Capital, LLC

June 2017 - Present

Oppenheimer & Co.,
Senior Director, Investments, Portfolio Manager
Philadelphia, PA

July 2013 – June 2017

Morgan Stanley
Associate Vice President Portfolio Manager, Branch Manager
Greenville, DE

March 2003 – July 2013

CIBC Oppenheimer
Account Executive – Private Client Group
New York, NY

September 2000 – March 2003

DISCIPLINARY INFORMATION

Mr. Anagnos has no disciplinary events to report.

OTHER BUSINESS ACTIVITIES

Mr. Anagnos has no outside business activity to report here.

Aetolia has adopted a Code of Ethics that was written and implemented in an effort to avoid potential conflicts resulting in unfair treatment of any client account. Aetolia will provide a copy of its Code of Ethics to any client or prospective client upon request.

ADDITIONAL COMPENSATION

Mr. Anagnos does not receive any compensation other than the compensation received from clients in connection with the investment advisory services offered by Aetolia Capital.

SUPERVISION

Aetolia Capital supervises the investment advisory services provided by its supervised persons through a variety of methods, including a review by a licensed principal prior to a client's enrollment in any investment advisory service or prior to any new account opening. This review is designed to ensure that the products and services offered and recommended to clients are appropriate based on the particular client's situation. Additionally, Aetolia Capital conducts periodic ongoing supervision related to its investment advisory services. These efforts, which vary in frequency, include review based on a number of different factors, including but not limited to specific account activity and changes in a client's financial situation or investment objectives.

John Anagnos is the principal of Aetolia Capital, LLC and is responsible for supervising investment adviser representatives for the firm.

REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Aetolia Capital, LLC is required to disclose the material facts regarding John Anagnos' involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. There is no information to disclose in relation to this item.